



03-13-03

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PTO/SB/81 (02-01)

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Application Number	09/759,728
Filing Date	Jan 11, 2001
First Named Inventor	Elliot Schwartz
Title	Method and Apparatus for Firewall Traversal
Group Art Unit	2131
Examiner Name	not yet assigned
Attorney Docket Number	5168.P001

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Alan Heimlich	48808

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Alan Heimlich

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name Tom Muise / CFO / Embrace Networks, Inc.

Signature *Tom Muise*

Date 3/11/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Embrace Networks, Inc.Application No./Patent No.: 09/759,728Filed/Issue Date: Jan 11, 2001Entitled: Method and Apparatus for Firewall TraversalEmbrace Networks, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
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The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Elliot Schwartz To: Kaveri Networks, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 011753, Frame 0890, or for which a copy thereof is attached.

2. From: Kaveri Networks, Inc. To: Embrace Networks, Inc.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3/11/03
Date

Tom Muise

Typed or printed name

[Signature]
Signature

Chief Financial Officer

Title



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The following has been received by the USPTO on the date stamped herein.	
Express Mail PO to Addressee: EU490357995US	Date Mailed: 03/12/2003
Ap No: 09/759728 Power of Attorney SB81 (1pg), 3.73(b) Statement SB96 (1pg)	
Ap No: 09/912636 Power of Attorney SB81 (1pg), 3.73(b) Statement SB96 (1pg)	
Ap No: 09/881248 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 09/912637 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 09/874867 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 09/884741 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 10/222076 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 10/050462 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (1pg)	
Ap No: 10/290023 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (10pgs)	
Ap No: 10/342868 Power of Attorney SB81 (2pgs), 3.73(b) Statement SB96 (7pgs)	
Cover letter - 1 pg	
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March 12, 2003

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Assistant Commissioner for Patents:

Please find enclosed several Power of Attorney packets (all for the same assignee) for the following:

Application No.	SB81	SB96	Atty Docket No.
09/759728	1pg	1pg	5168.P001
09/912636	1pg	1pg	5168.P002
09/881248	2pgs	1pg	5168.P004
09/912637	2pgs	1pg	5168.P005
09/874867	2pgs	1pg	5168.P006
09/884741	2pgs	1pg	5168.P007
10/222076	2pgs	1pg	5168.P008
10/050462	2pgs	1pg	5168.P009
10/290023	2pgs	10pgs	5168.P010
10/342868	2pgs	7pgs	5168.P012

After checking with the PTO via telephone, Applicant does not believe that there is a fee associated with filing these papers. If there is a fee, Applicant requests that he be contacted immediately to make payment.

If the filing is in any way deficient, please contact me regarding this matter.

Sincerely,

Alan Heimlich
Reg. No. 48808
alanheimlich@hotmail.com

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